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10076881 .061202

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: :  
Wang et al. :  
 :  
Application No. 10/076,881 : Art Unit: 1764  
 :  
Filed: Feb 13, 2002 : Examiner: not yet assigned  
 :  
For: A CATALYST AND METHOD : Atty Docket: B-1482-CIP2  
OF STEAM REFORMING :

**REQUEST FOR CORRECTION OF FILING RECEIPT**

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The Filing Receipt for the captioned application incorrectly listed priority of two of the related applications. The correct priority is:

AND A CIP OF 09/640,903 08/16/2000

AND A CIP OF 09/375,614 08/17/1999

A copy of the filing receipt showing the correction is enclosed.

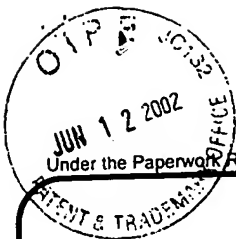
Respectfully submitted,

Date: 4 June 2002

By: Frank Rosenberg

Frank Rosenberg  
Registration No. 37,068  
tel: (925) 376-8416

10076881/06/2002/3



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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<h1>TRANSMITTAL FORM</h1> <p>(to be used for all correspondence after initial filing)</p>	Application Number	10/076,881
	Filing Date	02/13/2002
	First Named Inventor	Wang
	Group Art Unit	1764
	Examiner Name	not yet assigned
Total Number of Pages in This Submission <u>24</u>		Attorney Docket Number B-1482-CIP2

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Request For Correction Of Filing Receipt
Remarks: _____		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Frank Rosenberg
Signature	<i>Frank Rosenberg</i>
Date	<u>7 June 2002</u> 06/04/2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 5 June 2002

Typed or printed name	Esmeralda Mestizo
Signature	<i>E Mestizo</i>
Date	<u>June 5<sup>th</sup> 2002</u>

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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10076881.061202

PTO/SB/17 (10-01)

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 980.00

## Complete if Known

Application Number	10/076,881
Filing Date	02/13/2002
First Named Inventor	Wang
Examiner Name	not yet assigned
Group Art Unit	1764
Attorney Docket No.	B-1482-CIP2

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 50-1749  
Deposit Account Name: Frank Rosenberg

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☐ Check ☒ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

	Large Entity	Small Entity		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
101	740	201	370	Utility filing fee
106	330	206	165	Design filing fee
107	510	207	255	Plant filing fee
108	740	208	370	Reissue filing fee
114	160	214	80	Provisional filing fee

SUBTOTAL (1) (\$) 740.00

### 2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	X	=
Independent Claims	-3** =	X	=
Multiple Dependent			

	Large Entity	Small Entity		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

	Large Entity	Small Entity		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for ex parte reexamination
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	400	216	200	Extension for reply within second month
117	920	217	460	Extension for reply within third month
118	1,440	218	720	Extension for reply within fourth month
128	1,960	228	980	Extension for reply within fifth month
119	320	219	160	Notice of Appeal
120	320	220	160	Filing a brief in support of an appeal
121	280	221	140	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive - unavoidable
141	1,280	241	640	Petition to revive - unintentional
142	1,280	242	640	Utility issue fee (or reissue)
143	460	243	230	Design issue fee
144	620	244	310	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Processing fee under 37 CFR 1.17(q)
126	180	126	180	Submission of Information Disclosure Stmt
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))
179	740	279	370	Request for Continued Examination (RCE)
169	900	169	900	Request for expedited examination of a design application

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 240.00

## SUBMITTED BY

Name (Print/Type)	Frank Rosenberg	Registration No. (Attorney/Agent)	37,068	Telephone	925-376-8416
Signature	Frank Rosenberg	Date	06/04/2002		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/076,881	02/13/2002	Wang	B-1482-CIP2

Frank S. Rosenberg  
18 Echo Hill Lane  
Moraga, CA 94556



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CONFIRMATION NO. 1025  
FORMALITIES LETTER  
\*OC00000007622514\*

Date Mailed: 03/12/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

06/16/2002 RHARIS1 00000057 10076881

01 FC:101 740.00 OF  
02 FC:105 130.00 OF

*A copy of this notice **MUST** be returned with the reply.*

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M-NAILE

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



# RECORDATION FOR COVER SHEET PATENTS ONLY Form PTO-1619A

Our Fil No. **B-1482-CIP2**

TO: The commissioner of patents and trademarks: Please record the attached original document(s) or copy(ies).

## 1. SUBMISSION TYPE

- ☒ New  
☐ Resubmission  
☐ Document ID  
☐ Correction of PTO Error  
☐ Reel \_\_\_\_\_ Frame \_\_\_\_\_

## 2. CONVEYANCE TYPE (# Of Pages) (# of Items)

- |  |          |          |
|--|----------|----------|
| <input checked="" type="checkbox"/> Assignment | <u>3</u> | <u>1</u> |
| <input type="checkbox"/> License               | _____    | _____    |
| <input type="checkbox"/> Merger                | _____    | _____    |
| <input type="checkbox"/> Security Agreement    | _____    | _____    |
| <input type="checkbox"/> Name Change           | _____    | _____    |
| <input type="checkbox"/> Other                 | _____    | _____    |

3a. PATENT OR APP. # 10/076,881

3b. EXECUTION DATE: \_\_\_\_\_

## 4. CONVEYING PARTY(IES)

- |                              |                      |           |            |
|------------------------------|----------------------|-----------|------------|
| 1. <u>Yong Wang</u>          | Date <u>05222002</u> | 7. _____  | Date _____ |
| 2. <u>Anna Lee Tonkovich</u> | Date <u>05132002</u> | 8. _____  | Date _____ |
| 3. <u>David Vanderwiel</u>   | Date <u>05132002</u> | 9. _____  | Date _____ |
| 4. _____                     | Date _____           | 10. _____ | Date _____ |
| 5. _____                     | Date _____           | 11. _____ | Date _____ |
| 6. _____                     | Date _____           | 12. _____ | Date _____ |

## 5. RECEIVING PARTY

Name: Battelle Memorial Institute K1-53

Internal Address: K1-53 P.O Box 999 Intellectual Property Services

Street Address: 902 Battelle Blvd. Intellectual Property Services

City: Richland State: WA Zip: 99352

Any additional names of conveying parties attached? ☐ Yes  
☒ No

## 6. CORRESPONDENT NAME AND ADDRESS

Name: Todd J. Harrington (K1-53)

Street Address: 902 Battelle Blvd. P.O. Box 999

City: Richland State: WA Zip: 99352

Area Code and Phone Number: (509) 375-2981

## 7. FEE & DEPOSIT ACCOUNT #

Method Of Payment:

☐ Enclosed ☒ Deposit Account \$ 40.00

Deposit Account Number: #02-1275 (Payor No. 005055)

Authorization to charge additional fees: ☒ Yes  
☐ No

## 8. STATEMENT AND SIGNATURE

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Todd J. Harrington

Name of Person Signing

Todd J. Harrington  
 Signature

5/23/02  
 Date